

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C07C 2/32</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/51550</b> <b>(43) International Publication Date:</b> 14 October 1999 (14.10.99)
<b>(21) International Application Number:</b> PCT/US99/06817 <b>(22) International Filing Date:</b> 30 March 1999 (30.03.99)  <b>(30) Priority Data:</b> 09/053,944      2 April 1998 (02.04.98)      US  <b>(71) Applicant (for all designated States except US):</b> E.I. DU PONT DE NEMOURS AND COMPANY [US/US]; 1007 Market Street, Wilmington, DE 19898 (US).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> BENNETT, Alison, Margaret, Anne [GB/US]; 507 Falkirk Road, Wilmington, DE 19803 (US).  <b>(74) Agent:</b> EVANS, Craig, H.; E.I. du Pont de Nemours and Company, 1007 Market Street, Wilmington, DE 19898 (US).		<b>(81) Designated States:</b> CN, JP, KR, SG, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> OLIGOMERIZATION OF PROPYLENE  <b>(57) Abstract</b>  Propylene may be oligomerized by contacting it with certain cobalt complexes of selected 2,6-pyridinecarboxaldehydebis (imines) and 2,6-diacylpyridinebis (imines). The resulting olefins are useful as chemical intermediates.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**TITLE****OLIGOMERIZATION OF PROPYLENE****FIELD OF THE INVENTION**

Selected cobalt complexes of  
5 2,6-pyridinecarboxaldehydebis(imines) and  
2,6-diacylpyridinebis(imines) are catalysts for the  
oligomerization of propylene.

**BACKGROUND OF THE INVENTION**

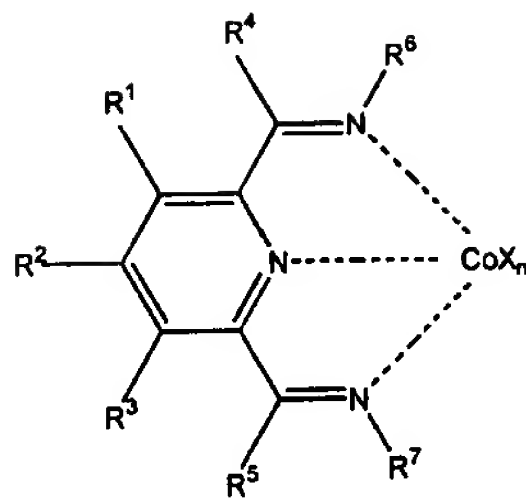
Oligomers of propylene such as propylene trimer  
10 and tetramer are made commercially by several different  
processes. These compounds are useful as chemical  
intermediates. For instance phenol may be alkylated  
with propylene trimer and/or tetramer, and subsequently  
ethoxylated to form a commercial industrial detergent.

15 Certain iron and/or cobalt complexes of selected  
2,6-pyridinecarboxaldehydebis(imines) and  
2,6-diacylpyridinebis(imines) have been reported in co-  
pending applications to polymerize and/or oligomerize  
ethylene, see U.S. Patent Applications 08/991372, filed  
20 Dec. 16, 1997, and 09/005965, filed Jan. 12, 1998.

Certain iron complexes of selected  
2,6-pyridinecarboxaldehydebis(imines) and  
2,6-diacylpyridinebis(imines) have been reported in co-  
pending application to polymerize and/or oligomerize  
25 propylene, see U.S. Patent Application 09/006031, filed  
January 12, 1998.

**SUMMARY OF THE INVENTION**

This invention concerns a first process for the  
oligomerization of propylene, comprising, contacting,  
30 at a temperature of about -100°C to about +200°C, a  
compound of the formula



(II)

with propylene and:

(a) a first compound W, which is a neutral Lewis acid capable of abstracting  $X^-$  and alkyl group or a hydride group from M to form  $WX^-$ ,  $(WR^{20})^-$  or  $WH^-$  and which is also capable of transferring an alkyl group or a hydride to cobalt, provided that  $WX^-$  is a weakly coordinating anion; or

(b) a combination of second compound which is capable of transferring an alkyl or hydride group to cobalt and a third compound which is a neutral Lewis acid which is capable of abstracting  $X^-$ , a hydride or an alkyl group from M to form a weakly coordinating anion;

wherein:

each X is an anion;

n is 1, 2 or 3 so that the total number of negative charges on said anion or anions is equal to the oxidation state of a Co atom present in (II);

$R^1$ ,  $R^2$  and  $R^3$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or an inert functional group;

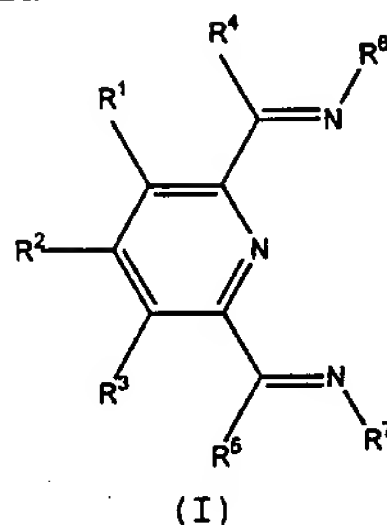
$R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, an inert functional group or substituted hydrocarbyl;

$R^6$  and  $R^7$  are aryl or substituted aryl; and

$R^{20}$  is alkyl.

This invention also concerns a second process for the oligomerization of propylene, comprising contacting, at a temperature of about  $-100^\circ\text{C}$  to about

+200°C, a Co[II] or Co[III] complex of a tridentate ligand of the formula



5 with propylene, wherein:

$R^1$ ,  $R^2$  and  $R^3$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or an inert functional group;

$R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, an inert functional group or substituted hydrocarbyl; and

$R^6$  and  $R^7$  are aryl or substituted aryl;

and provided that a Co[II] or Co[III] atom also has bonded to it an empty coordination site or a ligand that may be displaced by said propylene, and a ligand that may add to said propylene.

#### DETAILS OF THE INVENTION

Herein, certain terms are used. Some of them are:

- A "hydrocarbyl group" is a univalent group containing only carbon and hydrogen. If not otherwise stated, it is preferred that hydrocarbyl groups herein contain 1 to about 30 carbon atoms.
- By "substituted hydrocarbyl" herein is meant a hydrocarbyl group which contains one or more substituent groups which are inert under the process conditions to which the compound containing these groups is subjected. The substituent groups also do not substantially interfere with the process. If not otherwise stated, it is preferred that substituted hydrocarbyl groups herein contain 1 to about 30 carbon atoms. Included in the meaning of "substituted" are heteroaromatic rings.

• By "(inert) functional group" herein is meant a group other than hydrocarbyl or substituted hydrocarbyl which is inert under the process conditions to which the compound containing the group is subjected. The functional groups also do not substantially interfere with any process described herein that the compound in which they are present may take part in. Examples of functional groups include halo (fluoro, chloro, bromo and iodo), ether such as -OR<sup>18</sup> wherein R<sup>18</sup> is hydrocarbyl or substituted hydrocarbyl. In cases in which the functional group may be near a cobalt atom, such as R<sup>4</sup>, R<sup>5</sup>, R<sup>8</sup>, R<sup>12</sup>, R<sup>13</sup>, and R<sup>17</sup> the functional group should not coordinate to the metal atom more strongly than the groups in compounds containing R<sup>4</sup>, R<sup>5</sup>, R<sup>8</sup>, R<sup>12</sup>, R<sup>13</sup>, and R<sup>17</sup> which are shown as coordinating to the metal atom, that is they should not displace the desired coordinating group.

• By an "alkyl aluminum compound" is meant a compound in which at least one alkyl group is bound to an aluminum atom. Other groups such as alkoxide, hydride, and halogen may also be bound to aluminum atoms in the compound.

• By "neutral Lewis base" is meant a compound, which is not an ion, which can act as a Lewis base. Examples of such compounds include ethers, amines, sulfides, and organic nitriles.

• By "cationic Lewis acid" is meant a cation which can act as a Lewis acid. Examples of such cations are sodium and silver cations.

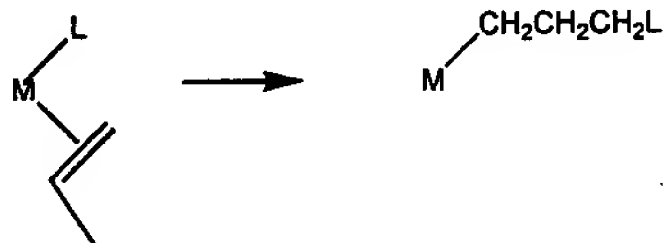
• By relatively noncoordinating (or weakly coordinating) anions are meant those anions as are generally referred to in the art in this manner, and the coordinating ability of such anions is known and has been discussed in the literature, see for instance W. Beck., et al., Chem. Rev., vol. 88 p. 1405-1421 (1988), and S. H. Stares, Chem. Rev., vol. 93, p. 927-942 (1993), both of which are hereby included by

reference. Among such anions are those formed from the aluminum compounds in the immediately preceding paragraph and  $X^-$ , including  $R^9_3AlX^-$ ,  $R^9_2AlClX^-$ ,  $R^9AlCl_2X^-$ , and " $R^9AlOX^-$ ", wherein  $R^9$  is alkyl. Other useful  
 5 noncoordinating anions include  $BAF^-$  ( $BAF^-$  = tetrakis[3,5-bis(trifluoromethyl)phenyl]borate),  $SbF_6^-$ ,  $PF_6^-$ , and  $BF_4^-$ , trifluoromethanesulfonate, p-toluenesulfonate,  $(R_fSO_2)_2N^-$ , and  $(C_6F_5)_4B^-$ .

• By an empty coordination site is meant a  
 10 potential coordination site that does not have a ligand bound to it. Thus if an ethylene molecule is in the proximity of the empty coordination site, the ethylene molecule may coordinate to the metal atom.

• By a ligand that may add to propylene is  
 15 meant a ligand coordinated to a metal atom into which an ethylene molecule (or a coordinated ethylene molecule) may insert to start or continue a polymerization. For instance, this may take the form of the reaction (wherein L is a ligand):

20

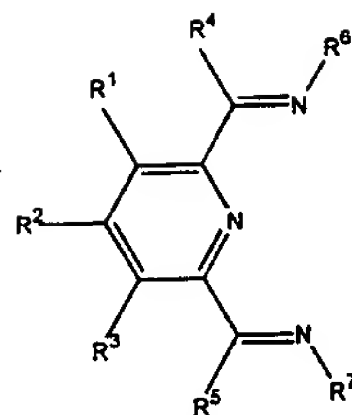


Note the similarity of the structure on the left-hand side of this equation to compound (IX) (see below).

• By oligomerization is meant that at least 50  
 25 mole percent of the oligomerized product has 18 or fewer carbon atoms.

Compounds useful as ligands herein in cobalt complexes are diimines of 2,6-pyridinedicarboxaldehyde or 2,6-diacylpyridines of the general formula

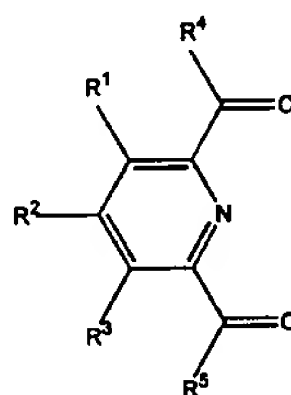
30



(IV)

wherein  $R^1$ ,  $R^2$  and  $R^3$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or an inert  
 5 functional group,  $R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, an inert functional group or substituted hydrocarbyl, and  $R^6$  and  $R^7$  are aryl or substituted aryl.

(IV) may be made by the reaction of a compound of  
 10 the formula

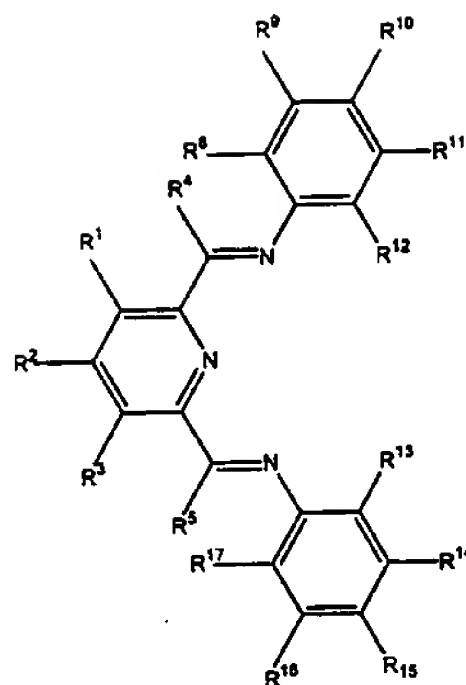


(VI)

with a compound of the formula  $H_2NR^6$  or  $H_2NR^7$ , wherein  
 15  $R^1$ ,  $R^2$  and  $R^3$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or an inert functional group,  $R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl or substituted hydrocarbyl. Preferably  $R^4$  and  $R^5$  are each hydrogen or hydrocarbyl,  
 20 and  $R^6$  and  $R^7$  are aryl or substituted aryl. These reactions are often catalyzed by carboxylic acids, such as formic acid.

Preferred compounds of formula (IV) and compounds in which (IV) is a ligand, whether present in compounds  
 25 such as (I), (II), (VII), (IX) and (XII) a preferred compound is (III), which is a subset of (IV).





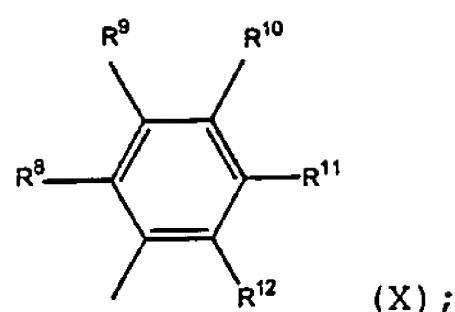
(III)

In (III), and hence in (I), (II), (IV), (VII), (IX) and (XII) that match the formula of (III), it is preferred  
 5 that:

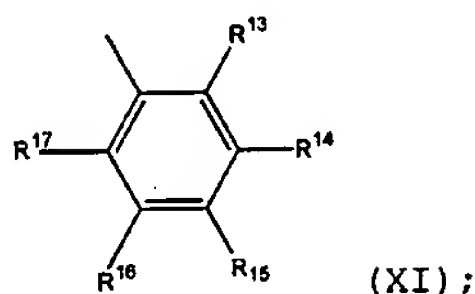
$R^1$ ,  $R^2$  and  $R^3$  are hydrogen; and/or  
 $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{14}$ ,  $R^{15}$  and  $R^{16}$  is each  
 independently halogen, alkyl containing 1 to 6 carbon  
 atoms, or hydrogen, and it is more preferred that each  
 10 of these is hydrogen; and/or  
 $R^{10}$  and  $R^{15}$  are methyl; and/or  
 $R^8$  and  $R^{13}$  is each independently halogen, phenyl  
 or alkyl containing 1 to 6 carbon atoms, and it is  
 especially preferred that each  $R^8$  and  $R^{13}$  is alkyl  
 15 containing 1-6 carbon atoms, and it is more preferred  
 that  $R^8$  and  $R^{13}$  are i-propyl or t-butyl;  
 $R^{12}$  and  $R^{17}$  is each independently halogen,  
 phenyl, hydrogen, or alkyl containing 1 to 6 carbon  
 atoms, and it is especially preferred that each  $R^{12}$  and  
 20  $R^{17}$  is alkyl containing 1-6 carbon atoms, and it is  
 more preferred that  $R^{12}$  and  $R^{17}$  are i-propyl, or it is  
 especially preferred that  $R^{12}$  and  $R^{17}$  are hydrogen;  
 $R^4$  and  $R^5$  are each independently hydrogen or  
 alkyl containing 1 to 6 carbon atoms, and it is  
 25 especially preferred that  $R^4$  and  $R^5$  are each  
 independently hydrogen or methyl.

Also in (IV), and hence in (I), (II), (VII), (IX)  
 and (XII), it is preferred that:

R<sup>6</sup> is



R<sup>7</sup> is



5           R<sup>8</sup> and R<sup>13</sup> are each independently hydrocarbyl, substituted hydrocarbyl or an inert functional group;

          R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or an inert functional group;

10           R<sup>12</sup> and R<sup>17</sup> are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or an inert functional group;

          and provided that any two of R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, R<sup>16</sup> and R<sup>17</sup> that are vicinal to one another, taken together may form a ring.

15           It is believed that the bulkiness of R<sup>6</sup> and/or R<sup>7</sup> become help to determine what oligomers are produced, that is how many propylene molecules are in the resulting oligomer, on average. Another was of stating this is that this bulkiness controls the average molecular weight of the product. It is believed that as R<sup>6</sup> and/or R<sup>7</sup> become bulkier, the average molecular weight of the oligomer produced will increase.

20           However, other effects (some unwanted), such as effects on yields may also occur.

          Specific preferred compounds (III) [and also in (I), (II), (IV), (VII), (IX) and (XII)] are:

          R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are hydrogen, R<sup>8</sup> and R<sup>13</sup> are chloro, and R<sup>4</sup>, R<sup>5</sup>, R<sup>12</sup> and R<sup>17</sup> are methyl;

$R^1, R^2, R^3, R^9, R^{10}, R^{11}, R^{12}, R^{14}, R^{15}, R^{16}$  and  $R^{17}$  are hydrogen,  $R^4$  and  $R^5$  are methyl, and  $R^8$  and  $R^{13}$  are phenyl;

$R^1, R^2, R^3, R^4, R^5, R^9, R^{10}, R^{11}, R^{12}, R^{14}, R^{15}, R^{16}$  and  $R^{17}$  are hydrogen, and  $R^8$  and  $R^{13}$  are phenyl;

$R^1, R^2, R^3, R^4, R^5, R^9, R^{10}, R^{11}, R^{14}, R^{15}$ , and  $R^{16}$  are hydrogen, and  $R^8, R^{12}, R^{13}$  and  $R^{17}$  are i-propyl; and

$R^1, R^2, R^3, R^9, R^{10}, R^{11}, R^{12}, R^{14}, R^{15}, R^{16}$  and  $R^{17}$  are hydrogen,  $R^4$  and  $R^5$  are methyl, and  $R^8$  and  $R^{13}$  are t-butyl.

In the oligomerization processes described herein, it can be seen from the results that it is preferred that there be at least some steric crowding caused by the tridentate ligand about the Co atom. Therefore, it is preferred that groups close to the metal atom be relatively large. It is relatively simple to control steric crowding if (III) is the tridentate ligand, since control of steric crowding can be achieved simply by controlling the size of  $R^8, R^{12}, R^{13}$  and  $R^{16}$ . These groups may also be part of fused ring systems, such as 9-anthracenyl.

In the first polymerization process it is preferred that X is chloride, bromide and tetrafluoroborate.

In the first polymerization process described herein a cobalt complex (II) is contacted with ethylene and a neutral Lewis acid W capable of abstracting  $X^-$ , hydride or alkyl from (II) to form a weakly coordinating anion, and must alkylate or be capable of adding a hydride ion to the metal atom, or an additional alkylating agent or an agent capable of adding a hydride anion to the metal atom must be present. The neutral Lewis acid is originally uncharged (i.e., not ionic). Suitable neutral Lewis acids include  $SbF_5$ ,  $Ar_3B$  (wherein Ar is aryl), and  $BF_3$ . Suitable cationic Lewis acids or Bronsted acids include NaBAF, silver trifluoromethanesulfonate,  $HBF_4$ , or  $[C_6H_5N(CH_3)_2]^+ [B(C_6F_5)_4]^-$ . In those instances in which

(II) (and similar catalysts which require the presence of a neutral Lewis acid or a cationic Lewis or Bronsted acid), does not contain an alkyl or hydride group already bonded to the metal atom, the neutral Lewis acid or a cationic Lewis or Bronsted acid also alkylates or adds a hydride to the metal or a separate alkylating or hydriding agent is present, i.e., causes an alkyl group or hydride to become bonded to the metal atom.

10 It is preferred that  $R^{20}$  contains 1 to 4 carbon atoms, and more preferred that  $R^{20}$  is methyl or ethyl.

For instance, alkyl aluminum compounds (see next paragraph) may alkylate (II). However, not all alkyl aluminum compounds may be strong enough Lewis acids to abstract  $X^-$  or an alkyl group from the metal atom. In that case a separate Lewis acid strong enough to do the abstraction must be present. For instance, in Example 39, polymethylaluminoxane is used as the "sole" Lewis acid, it both alkylates and does the abstraction from the metal atom.

A preferred neutral Lewis acid, which can alkylate the metal, is a selected alkyl aluminum compound, such as  $R^{20}_3Al$ ,  $R^{20}AlCl_2$ ,  $R^{20}_2AlCl$ , and " $R^{20}AlO$ " (alkylaluminoxanes), wherein  $R^{20}$  is alkyl containing 1 to 25 carbon atoms, preferably 1 to 4 carbon atoms. Suitable alkyl aluminum compounds include methylaluminoxane (which is an oligomer with the general formula  $[MeAlO]_n$ ), modified  $[MeAlO]_n$  wherein a minority of the methyl groups are replaced by another alkyl group,  $(C_2H_5)_2AlCl$ ,  $C_2H_5AlCl_2$ , and  $[(CH_3)_2CHCH_2]_3Al$ .

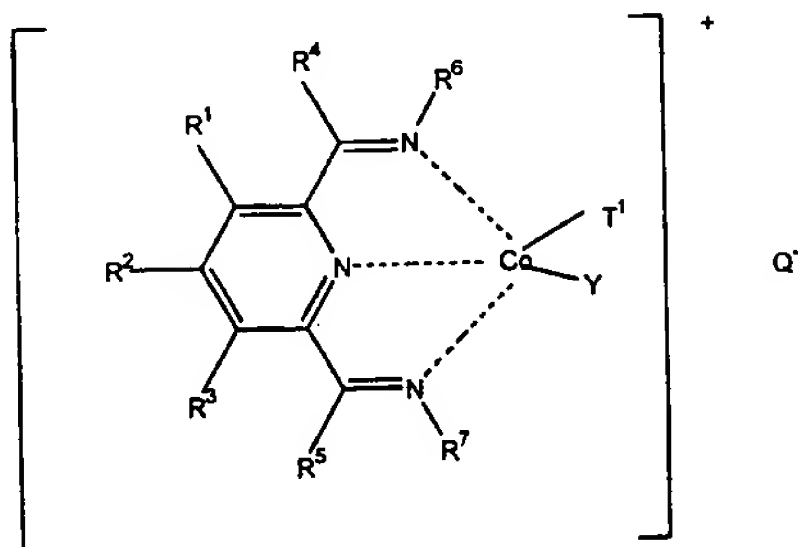
30 Metal hydrides such as  $NaBH_4$  may be used to bond hydride groups to the metal M.

In the second polymerization process described herein a cobalt complex of (I) is either added to the polymerization process or formed in situ in the process. In fact, more than one such complex may be formed during the course of the process, for instance formation of an initial complex and then reaction of

that complex to form a living ended polymer containing such a complex.

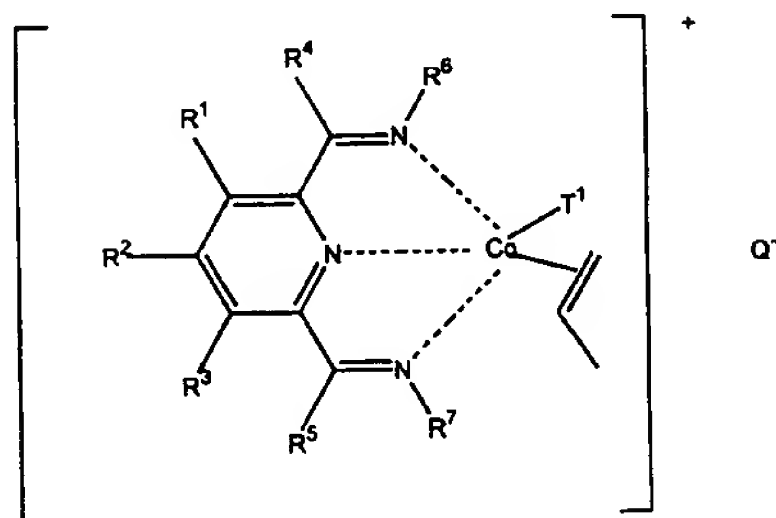
Examples of such complexes which may be formed initially in situ include

5



(VII)

and



(XII)

10

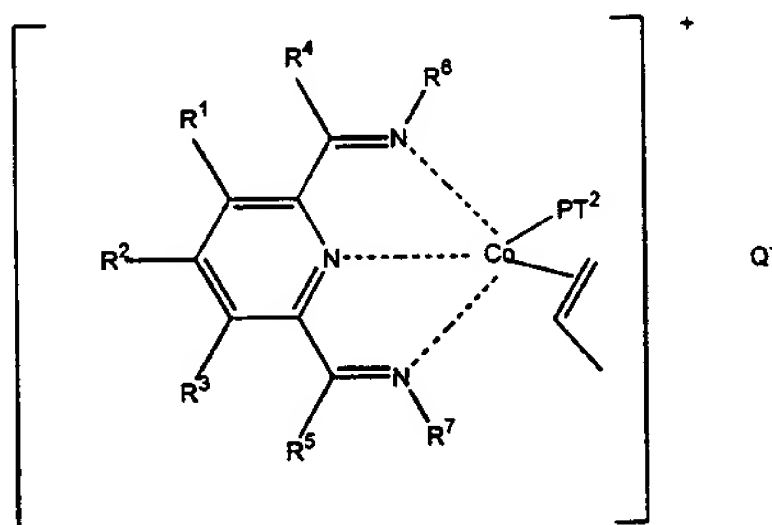
wherein  $R^1$  through  $R^7$  are as defined above,  $T^1$  is hydride or alkyl or any other anionic ligand into which propylene can insert,  $Y$  is a neutral ligand capable of being displaced by propylene or a vacant coordination site, and  $Q$  is a relatively non-coordinating anion. Complexes may be added directly to the process or formed in situ. For instance, (VII) may be formed by the reaction of (II) with a neutral Lewis acid such as an alkyl aluminum compound. Another method of forming such a complex in situ is adding a suitable cobalt compound such as cobalt [II] acetylacetonate, (I) and an alkyl aluminum compound. Other metal salts in which

15

20

anions similar to acetylacetonate are present, and which may be removed by reaction with the Lewis or Bronsted acid. For instance metal halides and carboxylates (such as acetates) may be used, particularly if they are slightly soluble in the process medium. It is preferred that these precursor metal salts be at least somewhat soluble in the process medium.

After the propylene oligomerization has started, the complex may be in a form such as



(IX)

wherein  $R^1$  through  $R^7$ , and  $Q$  are as defined above, and  $P$  is a divalent (oligo)propylene group, and  $T^2$  is an end group, for example the groups listed for  $T^1$  above. Those skilled in the art will note that (IX) is in essence an oligomer containing a so-called living end. It is preferred that  $Co$  be in +2 oxidation state in (VII), (VIII) and (IX). Compounds such as (VII), (IX) and (XII) may or may not be stable away from an environment similar to that of the polymerization process, but they may be detected by NMR spectroscopy, particularly one or both of  $^1H$  and  $^{13}C$  NMR, and particularly at lower temperatures. Such techniques, especially for polymerization "intermediates" of these types are known, see for instance World Patent Application 96/23010, especially Examples 197-203, which is hereby included by reference.

In all the oligomerization processes herein, the temperature at which the propylene oligomerization is

carried out is about  $-100^{\circ}\text{C}$  to about  $+200^{\circ}\text{C}$ , preferably about  $-60^{\circ}\text{C}$  to about  $150^{\circ}\text{C}$ , more preferably about  $-50^{\circ}\text{C}$  to about  $100^{\circ}\text{C}$ . The propylene pressure at which the polymerization is carried out is not critical, atmospheric pressure to about 275 MPa being a suitable range.

The oligomerization processes herein may be run in the presence of various liquids, particularly aprotic organic liquids. The catalyst system, propylene, and propylene oligomer may be soluble or insoluble in these liquids, but obviously these liquids should not prevent the oligomerization from occurring. Suitable liquids include alkanes, cycloalkanes, selected halogenated hydrocarbons, (liquid) propylene and aromatic hydrocarbons. Specific useful solvents include hexane, toluene and benzene. A preferred liquid is the propylene oligomer itself.

The propylene oligomerizations herein may also initially be carried out in the solid state [assuming (II), (IV) or (VII) is a solid] by, for instance, supporting (II), (IV) or (VII) on a substrate such as silica or alumina, activating it with the Lewis (such as W, for instance an alkylaluminum compound) or Bronsted acid and exposing it to a polymerizable or oligomerizable olefin. An alternative method is to react or treat the support with W, then react the treated support with (II), (IV) or (VII). Or W and (II), (IV) or (VII) can be mixed and then the support treated with the resulting solution. The support may also be able to take the place of the Lewis or Bronsted acid, for instance an acidic clay such as montmorillonite. Another method of making a supported catalyst is to start a polymerization or at least make a cobalt complex of another olefin or oligomer of an olefin such as cyclopentene on a support such as silica or alumina. These "heterogeneous" catalysts may be used to catalyze oligomerization in the gas phase or the liquid phase. By gas phase is meant that the

propylene is transported to contact with the catalyst particle while the propylene is in the gas phase.

In the Examples and Experiments, the pressures  
5 given are gauge pressures. The following abbreviations and terms are used:

Branching - reported as the number of methyl groups per 1000 methylene groups in the oligomer. Not corrected for end groups.

10 FW - formula weight  
GC - gas chromatography  
GC/MS - gas chromatography followed by mass spectrometry  
GPC - gel permeation chromatography  
15 MeOH - methanol  
PMAO - polymethylaluminoxane  
RT - room temperature  
THF - tetrahydrofuran

#### Experiment 1

#### 20 2,6-Diacetylpyridinebis(2-chloro-6-methylphenylimine)

In a 200 mL round bottom flask, 2.0 g of 2,6-diacetylpyridine (FW 163.18, 0.0122 mole) and 50 mL of methanol were placed. Next, 3.45 g of 2-chloro-6-methylaniline (FW 141.60, 0.0245 mole) was  
25 added followed by three drops of formic acid and the solution was stirred at RT under nitrogen for four d, at which time no precipitate had formed. The reaction was then refluxed for 24 h. GC analysis indicated that reaction was incomplete. Refluxing was continued for a  
30 total of 1 week. Solvent was stripped from the reaction mixture via rotovap. Flash chromatography through a basic alumina column (eluted with hexane/ethyl acetate 20:1) lead to isolation of an oil. The oil was then crystallized from methanol/methylene  
35 chloride. Collected 0.21 g (4.2% yield) of pale yellow crystals. <sup>1</sup>H-NMR (ppm, CDCl<sub>3</sub>): 2.12(s, 6H), 2.32(s, 6H), 6.95(t, 2H), 7.13(d, 2H), 7.30(d, 2H), 7.92(t, 1H), 8.5(d, 2H).



Experiment 22,6-Diacetylpyridinebis(2-biphenylimine)

In a 100 mL round bottom flask, 0.48 g of 2,6-diacetylpyridine (FW 163.18, 0.00295 moles), 1.0 g of 2-aminobiphenyl (FW 169.23, 0.0059 moles), and 20 mL of methanol were placed. Three drops of formic acid were added and the resulting solution stirred under nitrogen. A precipitate formed after one day. This was filtered off, washed with cold methanol and dried. Collected 0.84 g (61% yield) of pale yellow solid. <sup>1</sup>H NMR (ppm, CDCl<sub>3</sub>): 2.15(s, 6H), 6.8(d, 2H), 7.15-7.50(m, 16H), 7.75(t, 1H), 8.10(d, 2H).

Experiment 32,6-Pyridinedicarboxaldehydebis(2,6-diisopropylphenylimine)

In a 35 mL round bottom flask, 0.28 g of 2,6-pyridinedicarboxaldehyde (FW 135.12, 0.00207 moles), 0.73 g of 2,6-diisopropylaniline (FW 177.29, 0.00414 moles), and 15 mL of methanol were placed. Three drops of formic acid were added and the solution stirred. A precipitate formed within 5 min. Stirring was continued overnight. The solid was filtered off, washed with cold methanol and dried. Collected 0.86 g (91.5% yield) of a pale yellow solid. <sup>1</sup>H NMR (ppm, CDCl<sub>3</sub>), 1.2(d, 24H), 3.0(m, 4H), 7.0-7.2(m, 6H), 8.0(t, 1H), 8.35(s, 2H), 8.4(d, 2H).

Experiment 42,6-Diacetylpyridinebis(2-tert-butylphenylimine)

In a 200 mL round bottom flask, 2.0 g of 2,6-diacetylpyridine (FW 163.18, 0.0122 moles) was dissolved in 25 mL of methanol. Next 3.66 g of 2-tert-butylaniline (FW 149.24, 0.0245 moles) and 3 drops of formic acid were added. A precipitate started to form after 30 min. The solution was stirred at room temperature overnight. The precipitate was filtered off, washed with cold methanol and then dried. Collected 3.88 g (75% yield) of a yellow solid. The NMR revealed the solid to be mostly the monoimine

product. The above solid (3.85 g, FW 294.4, 0.013 mole) was placed into a 200 mL flask. 1.95 g of 2-t-butylaniline, methanol, and 4 drops of formic acid were added. The mixture was brought to reflux before  
5 slowly adding chloroform until all solids had dissolved. After 48 h the volume was reduced and the reaction cooled to precipitate more solids. These were isolated and recrystallized from methanol and a minimum amount of chloroform, yielding 2.8 g of product.  
10 <sup>1</sup>H-NMR (ppm, CDCl<sub>3</sub>) 1.4(s, 18H), 2.4(s, 6H), 6.55(d, 2H), 7.1(t, 2H), 7.2(t, 2H), 7.45(d, 2H), 7.9(t, 1H), 8.4(d, 2H).

#### Experiment 5

[2,6-Diacetylpyridinebis(2-chloro-6-  
15 methoxyphenylimine)]cobalt[II]dichloride

In a dry, oxygen-free atmosphere CoCl<sub>2</sub> (anhydrous, 0.062 g) was dissolved in a minimum of dry THF. 2,6-Diacetylpyridinebis(2-chloro-6-methoxyphenylimine) (0.205 g) was added and the solution turned green and a  
20 green precipitate formed. The mixture was stirred at RT for 2 days after which the volume of the solution was reduced by half and pentane added to precipitate the product, which was filtered off, washed with pentane and dried. Yield 0.240 g.

#### 25 Experiment 6

[2,6-Diacetylpyridinebis(2-biphenylimine)]  
cobalt[II]dichloride

In a dry, oxygen-free atmosphere CoCl<sub>2</sub> (anhydrous, 0.135 g) was dissolved in a minimum of dry THF.  
30 2,6-Diacetylpyridinebis(2-biphenylimine) (0.500 g) was added and the solution darkened and a brown precipitate formed. The mixture was stirred at RT for 2 d after which the volume was reduced and pentane added. The product was filtered off, washed with pentane and  
35 dried. Yield 0.500 g.

Experiment 7[2,6-Pyridinedicarboxaldehydebis  
(2,6-diisopropylphenylimine)]cobalt[II]dichloride

In a dry, oxygen-free atmosphere  $\text{CoCl}_2$  (anhydrous,  
5 0.072 g) was dissolved in a minimum of dry THF.  
2,6-Pyridinedicarboxaldehydebis  
(2,6-diisopropylphenylimine) (0.256 g) was added and  
the solution darkened and turned green. The mixture  
was stirred at RT for 4 d after which the volume was  
10 reduced and pentane added. The product was filtered  
off, washed with benzene and pentane and dried. Yield  
0.26 g.

Experiment 8[2,6-Diacetylpyridinebis(2-t-butylphenylimine)]  
cobalt[II]dichloride

15 In a dry, oxygen-free atmosphere  $\text{CoCl}_2$  (anhydrous,  
0.168 g) was dissolved in a minimum of dry THF.  
2,6-Diacetylpyridinebis(2-t-butylphenylimine) (0.553 g)  
was added and the solution darkened and a brown  
20 precipitate formed rapidly. The mixture was stirred at  
RT overnight after which pentane was added. The  
product was filtered off, washed with pentane and  
dried. Yield = 0.66 g.

25 In the Examples  $^{13}\text{C}$  NMR spectra were obtained on a  
Bruker DRX Avance 500 MHz instrument at  $30^\circ\text{C}$  with a  
Nalorac 10 MM Probe using a 90 degree pulse, digital  
filtering and digital lock, a spectra width of 29 kHz,  
an acquisition time of 0.64 sec, and a delay between  
30 pulses of 10 sec. Samples were 10 or 20 wt% in  $\text{CDCl}_3$   
with 0.05 M  $\text{CrAcAc}$ . A variety of 2D NMR experiments  
were used to support the assignments, including HMQC,  
HMBC, HSQC-TOCSY, and TOCSY.

In the examples, certain compounds having the  
35 formula (II) are used as "Catalysts". In these  
compounds,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  are hydrogen, n is 2, and X is  
Cl. The remainder of the substituents are given in  
Table 1.

Table 1

Catalyst No.	R <sup>4</sup>	R <sup>5</sup>	R <sup>6</sup>	R <sup>7</sup>
1	Me	Me	2-phenylphenyl	2-phenylphenyl
2	Me	Me	2-chloro-6-methylphenyl	2-chloro-6-methylphenyl
3	H	H	2,6-diisopropylphenyl	2,6-diisopropylphenyl
4	H	H	2-phenylphenyl	2-phenylphenyl
5	Me	Me	2-t-butylphenyl	2-t-butylphenyl

Example 1

Inside a drybox under a nitrogen atmosphere, Catalyst 1 (12.4 mg, 0.02 mmol) was slurried in anhydrous toluene (25 ml) in a Schlenk flask. The flask was sealed, removed from the drybox and placed under an atmosphere of propylene (35 kPa) and cooled to 0°C. The cocatalyst, PMAO (0.5 ml, 9.3wt% Al in toluene, Akzo), was added with vigorous stirring and the reaction allowed to proceed at 0°C for 5 h after which it was warmed to RT and allowed to react for a further 16 h. The reaction was quenched by addition of MeOH/10% HCl and the toluene phase decanted. Toluene and the lower molecular weight oligomers (up to and including a major portion of the C<sub>9</sub> fraction) were removed under vacuum. The remaining oligomers were analyzed using, GC, GC/MS and <sup>13</sup>C-NMR. Yield = 2.3g

	Species	Mol%
20	1-ene	8.9
	2-ene trans	30.1
	2-ene cis	16.2
	3-ene trans	1.5
	3-ene cis	ND
25	4-ene trans	18.1
	2-methylene	1.5
	3-methylene	ND
	4+-methylene	1.3
	5+-ene	22.5
30	% Me per ene	3
	1B1/1000CH <sub>2</sub>	~3

ND = not detected

% Me per ene: number of methyl branches per double bond occurrence.

1B1/1000 CH<sub>2</sub>: number of methyl branches per  
5 1000 CH<sub>2</sub>.

#### Example 2

Inside a drybox under a nitrogen atmosphere, Catalyst 2 (32 mg, 0.06 mmol) was slurried in anhydrous toluene (25 ml) in a Schlenk flask. The flask was  
10 sealed, removed from the drybox and placed under an atmosphere of propylene (35 kPa) and cooled to 0°C. The cocatalyst, PMAO (0.5 ml, 9.3 wt% Al in toluene, Akzo), was added with vigorous stirring and the reaction allowed to proceed at 0°C for 5 h after which  
15 it was warmed to RT and allowed to react for a further 16 h. The reaction was quenched by addition of MeOH/10% HCl and the toluene phase decanted. Toluene and the lower molecular weight oligomers (up to and including a major portion of the C<sub>9</sub> fraction) were removed under  
20 vacuum. The remaining oligomers were analyzed using, GC, GC/MS and <sup>13</sup>C-NMR. Yield = 3.7g

The same species present in Example 1 are also present in this sample. The ND species are also the same. However, in this sample there are several  
25 additional olefinic resonances. There are about 50-100 1B1 methyls per 1000 methylenes.

#### Example 3

Inside a drybox under a nitrogen atmosphere, Catalyst 3 (35 mg, 0.06 mmol) was slurried in anhydrous  
30 toluene (25 ml) in a Schlenk flask. The flask was sealed, removed from the drybox and placed under an atmosphere of propylene (35 kPa) and cooled to 0°C. The cocatalyst, PMAO (0.5 ml, 9.3 wt% Al in toluene, Akzo), was added with vigorous stirring and the  
35 reaction allowed to proceed at 0°C for 5 h after which it was warmed to RT and allowed to react for a further 16 h. The reaction was quenched by addition of MeOH/10% HCl and the toluene phase decanted. GC

analysis of this crude reaction product indicated the presence of a small amount of oligomer.

#### Example 4

Inside a drybox under a nitrogen atmosphere,  
5 Catalyst 4 (34 mg, 0.06 mmol) was slurried in anhydrous  
toluene (25 ml) in a Schlenk flask. The flask was  
sealed, removed from the drybox and placed under an  
atmosphere of propylene (35 kPa) and cooled to 0°C.  
The cocatalyst, PMAO (0.5 ml, 9.3 wt% Al in toluene,  
10 Akzo), was added with vigorous stirring and the  
reaction allowed to proceed at 0°C for 5 h after which  
it was warmed to RT and allowed to react for a further  
16 h. The reaction was quenched by addition of  
MeOH/10% HCl and the toluene phase decanted. GC  
15 analysis of this crude reaction product indicated the  
presence of a small amount of oligomer.

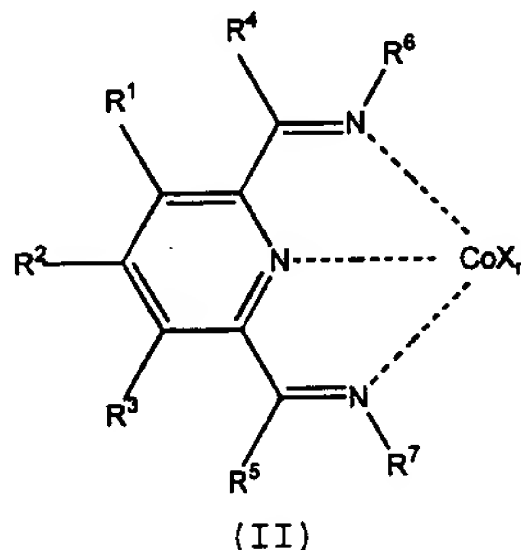
#### Example 5

Inside a drybox under a nitrogen atmosphere,  
Catalyst 5 (33 mg, 0.06 mmol) was slurried in anhydrous  
20 toluene (25 ml) in a Schlenk flask. The flask was  
sealed, removed from the drybox and placed under an  
atmosphere of propylene (35 kPa) and cooled to 0°C.  
The cocatalyst, PMAO (0.5 ml, 9.3wt% Al in toluene,  
Akzo), was added with vigorous stirring and the  
25 reaction allowed to proceed at 0°C for 5 h after which  
it was warmed to RT and allowed to react for a further  
16 h. The reaction was quenched by addition of  
MeOH/10% HCl and the toluene phase decanted. GC  
analysis of this crude reaction product indicated the  
30 presence of a small amount of oligomer.

CLAIMS

What is claimed is:

1. A process for the oligomerization of propylene, comprising, contacting, at a temperature of about  $-100^{\circ}\text{C}$  to about  $+200^{\circ}\text{C}$ , a compound of the formula



with propylene and:

- 10 (a) a first compound W, which is a neutral Lewis acid capable of abstracting  $\text{X}^-$  and alkyl group or a hydride group from M to form  $\text{WX}^-$ ,  $\text{WR}^{20}$  or  $\text{WH}$  and which is also capable of transferring an alkyl group or a hydride to cobalt, provided that  $\text{WX}^-$  is a weakly
- 15 coordinating anion; or
- (b) a combination of a second compound which is capable of transferring an alkyl or hydride group to cobalt and a third compound which is a neutral Lewis acid which is capable of abstracting  $\text{X}^-$ , a hydride or
- 20 an alkyl group from M to form a weakly coordinating anion;

wherein:

each X is an anion;

- n is 1, 2 or 3 so that the total number of
- 25 negative charges on said anion or anions is equal to the oxidation state of a Co atom present in (II);

$\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or an inert functional group;

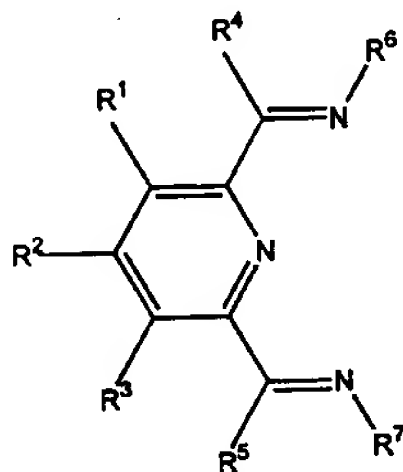
$R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, an inert functional group, or substituted hydrocarbyl;

$R^6$  and  $R^7$  are aryl or substituted aryl; and

5  $R^{20}$  is alkyl.

2. A process for the oligomerization of propylene, comprising contacting, at a temperature of about  $-100^{\circ}\text{C}$  to about  $+200^{\circ}\text{C}$ , a Co[II] or Co[III] complex of a tridentate ligand of the formula

10



(I)

with propylene, wherein:

15  $R^1$ ,  $R^2$  and  $R^3$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or an inert functional group;

$R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, an inert functional group or substituted hydrocarbyl; and

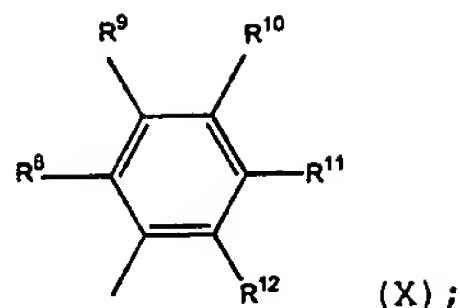
20  $R^6$  and  $R^7$  are aryl or substituted aryl;

and provided that a Co[II] or Co[III] atom also has bonded to it an empty coordination site or a ligand that may be displaced by said propylene, and a ligand that may add to said propylene.

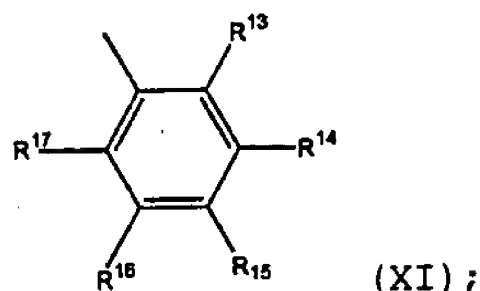
25 3. The process as recited in claim 1 or 2 wherein:



R<sup>6</sup> is



R<sup>7</sup> is



5

R<sup>8</sup> and R<sup>13</sup> are each independently hydrocarbyl, substituted hydrocarbyl or an inert functional group;

R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or an inert functional group;

R<sup>12</sup> and R<sup>17</sup> are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or an inert functional group;

and provided that any two of R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, R<sup>16</sup> and R<sup>17</sup> that are vicinal to one another, taken together may form a ring.

4. The process as recited in claim 3 wherein:

R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> are hydrogen;

R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are each independently halogen, alkyl containing 1 to 6 carbon atoms, or hydrogen;

R<sup>8</sup> and R<sup>13</sup> is each independently halogen, phenyl or alkyl containing 1 to 6 carbon atoms;

R<sup>12</sup> and R<sup>17</sup> are each independently halogen, phenyl, hydrogen, or alkyl containing 1 to 6 carbon atoms; and

R<sup>4</sup> and R<sup>5</sup> are each independently hydrogen or alkyl containing 1 to 6 carbon atoms.

5. The process as recited in claim 4 wherein R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>14</sup>, R<sup>15</sup>, and R<sup>16</sup> are each hydrogen.

6. The process as recited in claim 4 wherein  $R^8$  and  $R^{13}$  are each alkyl containing 1-6 carbon atoms or phenyl, and  $R^{12}$  and  $R^{17}$  are hydrogen.

7. The process as recited in claim 6 wherein  $R^4$  and  $R^5$  are each hydrogen or methyl.

8. The process as recited in claim 4 wherein:  
 $R^1, R^2, R^3, R^9, R^{10}, R^{11}, R^{14}, R^{15}$  and  $R^{16}$  are hydrogen,  $R^8$  and  $R^{13}$  are chloro, and  $R^4, R^5, R^{12}$  and  $R^{17}$  are methyl;

10  $R^1, R^2, R^3, R^9, R^{10}, R^{11}, R^{12}, R^{14}, R^{15}, R^{16}$  and  $R^{17}$  are hydrogen,  $R^4$  and  $R^5$  are methyl, and  $R^8$  and  $R^{13}$  are phenyl;

$R^1, R^2, R^3, R^4, R^5, R^9, R^{10}, R^{11}, R^{12}, R^{14}, R^{15}, R^{16}$  and  $R^{17}$  are hydrogen, and  $R^8$  and  $R^{13}$  are phenyl;

15  $R^1, R^2, R^3, R^4, R^5, R^9, R^{10}, R^{11}, R^{14}, R^{15}$ , and  $R^{16}$  are hydrogen, and  $R^8, R^{12}, R^{13}$  and  $R^{17}$  are i-propyl; and

$R^1, R^2, R^3, R^9, R^{10}, R^{11}, R^{12}, R^{14}, R^{15}, R^{16}$  and  $R^{17}$  are hydrogen,  $R^4$  and  $R^5$  are methyl, and  $R^8$  and  $R^{13}$  are t-butyl.

20 9. The process as recited in claim 4 wherein X is chloride, bromide or tetrafluoroborate.

10. The process as recited in claim 4 wherein said neutral Lewis acid is an alkyl aluminum compound.

25 11. The process as recited in claim 10 wherein said alkyl aluminum compound is polymethylaluminoxane.

12. The process as recited in claim 4 wherein said temperature is about  $-50^\circ\text{C}$  to about  $100^\circ\text{C}$ .

30 13. The process as recited in claim 1 or 2 wherein a pressure of said propylene is about atmospheric pressure to about 275 MPa.

14. The process as recited in claim 1 wherein  $R^{20}$  contains 1 to 4 carbon atoms.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/06817

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C07C2/32

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	W0 99 02472 A (BROOKHART MAURICE S ;UNIV NORTH CAROLINA (US); DU PONT (US); SMALL) 21 January 1999 cited in the application see claims	1
A	W0 96 23010 A (DU PONT ;UNIV NORTH CAROLINA (US)) 1 August 1996 cited in the application	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

15 June 1999

Date of mailing of the international search report

25/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Van Geyt, J

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/06817

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9902472 A	21-01-1999	AU 8569098 A	08-02-1999
WO 9623010 A	01-08-1996	AU 5020896 A	14-08-1996
		BR 9607485 A	23-12-1997
		CA 2211108 A	01-08-1996
		CN 1181089 A	06-05-1998
		CZ 9702351 A	17-12-1997
		EP 0805826 A	12-11-1997
		FI 973096 A	23-09-1997
		JP 10513489 T	22-12-1998
		NO 973310 A	23-09-1997
		PL 322446 A	02-02-1998
		US 5880241 A	09-03-1999
		US 5880323 A	09-03-1999
		US 5866663 A	02-02-1999
		US 5886224 A	23-03-1999
		US 5891963 A	06-04-1999